

3FW

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/756,214
	Filing Date	January 12, 2004
	First Named Inventor	Rajiv Doshi
	Art Unit	1744
	Examiner Name	Not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	2502230-991101

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard; PCT Search Report and PCT Written Opinion
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual name	David L. Alberti (Reg. No. 43,465) DLA Piper Rudnick Gray Cary US LLP
Signature	<i>D. Alberti</i>
Date	March 10, 2005

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Susan Pinguet
Signature	<i>Susan Pinguet</i>
Date	March 10, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

American LegalNet, Inc.  
www.USCourtForms.com



# INFORMATION DISCLOSURE STATEMENT

Applicants:	Rajiv Doshi
Application No.	10/756,214
Filed:	January 12, 2004
For:	System And Method For Treating Microorganisms Within Motor Vehicle Heating, Ventilation, And Air Conditioning Units
Group Art Unit:	1744
Examiner:	Not yet assigned
Attorney Docket No.:	2502230-991101

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

## This Information Disclosure Statement:

- |     |                                     |   |
|-----|-------------------------------------|---|
| (a) | <input type="checkbox"/>            | accompanies a new patent application submitted herewith.  |
| (b) | <input type="checkbox"/>            | is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR   |
| (c) | <input type="checkbox"/>            | after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR   |
| (d) | <input checked="" type="checkbox"/> | is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR |
| (e) | <input type="checkbox"/>            | is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.                  |

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- |     |                                     |   |
|-----|-------------------------------------|---|
| (f) | <input checked="" type="checkbox"/> | Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or   |
| (g) | <input type="checkbox"/>            | No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. |

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

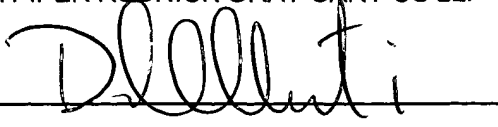
The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account

No. 07-1896

Date: March 10, 2005

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY US LLP

By:   
Reg. No. David L. Alberti (Reg. No. 43,465)  
Attorneys for Applicant(s)  
2000 University Avenue  
East Palo Alto, CA 94303-2248  
650-833-2052

☒ Customer Number or Bar Code Label

26379

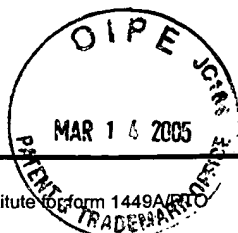
(Insert Customer No. or Attach bar code label here)

*I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents & Trademarks; P.O. Box 1450, Alexandria, VA 22313-1450.*

March 10, 2005

Date

  
Susan Pingle



Substitute for form 1449A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/756,214
Filing Date	January 12, 2004
First Named Inventor	Rajiv Doshi
Group Art Unit	1744
Examiner Name	Elizabeth McKane
Attorney Docket Number	2502230-991101

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	1	5,334,347		08-1994	Hollander	
	2	5,558,158		09-1996	Elmore	
	3	5,817,276		10-1998	Fencl et al	
	4	6,438,971		08-2002	Lentz et al	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code	Number	Kind Code (if known)				

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	5	PCT Search Report and PCT Written Opinion mailed on February 2, 2005 corresponding to PCT/US04/00933 filed on January 13, 2004.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--